

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)
(Jointly Administered)

Ref. Docket Nos. 40726, 40901,
40904, 40907-40914, 40975-40977

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 7, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this
8th day of November, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CHIMNEY ROCK VALUE FUND, L.P.
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH
ATTN: BEAU LESCOTT
350 PARK AVENUE
NEW YORK NY 10022

CHIMNEY ROCK VALUE FUND, L.P.
DAVIS POLK & WARDWELL LLP
ATTN: ERIC RUIZ
450 LEXINGTON AVENUE
NEW YORK NY 10017-3904

Please note that your claim # 21893-19 in the above referenced case and in the amount of
\$6,527,038.41 allowed at \$6,312,882.09 has been transferred (unless previously expunged by court order)

CITIGROUP FINANCIAL PRODUCTS INC.
TRANSFEROR: CHIMNEY ROCK VALUE FUND, L.P.
ATTN: BRIAN BLESSING/BRIAN BROYLES
1615 BRETT ROAD, BLDG 3
NEW CASTLE DE 19720

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 40975 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/07/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 7, 2013.

EXHIBIT B

TIME: 14:37:42
DATE: 11/07/13

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

Name	Address
BANK JULIUS BAER & CO. LTD.	ATTN: MICHAEL GERNY ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH CH-8010 SWITZERLAND
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: RBS COUTTS BANK AG ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH 8010 SWITZERLAND
BANK JULIUS BAER EUROPE AG	TRANSFEROR: BANK JULIUS BAER & CO. LTD. ATTN: PATRIK ROOS BAHNHOFSTRASSE 37 ZURICH CH-8010 SWITZERLAND
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CHIMNEY ROCK VALUE FUND, L.P.	ZIFF BROTHERS INVESTMENTS, L.L.C. ATTN: ERICA LEE, GENERAL COUNSEL
CHIMNEY ROCK VALUE FUND, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: BEAU LESCOTT 350 PARK AVENUE NEW YORK NY 10022
CHIMNEY ROCK VALUE FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. ATTN: BEAU LESCOTT 350 PARK AVENUE NEW YORK NY 10022
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CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: CHIMNEY ROCK VALUE FUND, L.P. ATTN: BRIAN BLESSING/BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: HALCYON LOAN TRADING FUND LLC ATTN: SCOTT EVAN 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: HLF LP ATTN: SCOTT EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: HLTS FUND II LP ATTN: SCOTT EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: HLTS FUND II LP ATTN: SCOTT EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
E. GUTZWILLER & CIE, BANQUIERS	TRANSFEROR: UBS AG ATTN: JEAN-FRANCOIS RUF KAUFHAUSGASSE 7 BASEL 4051 SWITZERLAND
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067
GOLDMAN SACHS LENDING PARTNERS, LLC	TRANSFEROR: EMPYREAN INVESTMENTS, LLC C/O GOLDMAN SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS LENDING PARTNERS, LLC	TRANSFEROR: EMPYREAN INVESTMENTS, LLC C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
MERRILL LYNCH INTERNATIONAL	CHRISTOPHER J. HAAS BANK OF AMERICA TOWER ONE BRYANT PARK NEW YORK NY 10036
MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK NED S. SCHODEK SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022
RBS COUTTS BANK AG	STAUFFACHERSTRASSE 1 POSTFACH ZURICH 8022 SWITZERLAND
TFC MASTER FUND LLC	TRANSFEROR: MERRILL LYNCH INTERNATIONAL ATTN: TERREL ROSS 336 ATLANTIC AVENUE, SUITE 302 EAST ROCKAWAY NY 11518
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD., STE 1500 MINNEAPOLIS MN 55437

Total Number of Records Printed

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